



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Petersen, et al.

Appn. No.: 10/078,690

Filing Date: 02/19/2002

Title: **MULTIPLE LEVEL TRANSMITTER  
AND METHOD OF TRANSMITTING**

Examiner: Jaworski

Art Unit: 3737

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Sir:

This Information Disclosure Statement is submitted:

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(Within three months of either ☐ the filing date of a national application other than a continued  
prosecution application under 37 CFR 1.53(d) or ☐ the date of national stage entry of  
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- ☐ under 37 CFR 1.97 (c) together with either a:  
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2179**. At any time during the pendency of this application, please charge any fees required or  
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Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation which applicant(s) believe(s) may  
be material to the examination of this application and for which there may be a duty to disclose in accordance with  
37 CFR 1.56,

- ☒ together with hard copies of all references as this application was filed before June 30, 2003; or  
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unpublished patent applications (or portion thereof) or publications or other information of which applicant(s) are  
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application (application no. \_\_\_\_\_) to which the above-identified application claims priority under 35 USC 120 and  
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**37 CFR 1.97(e) Certifications:**

☐ The undersigned hereby certifies under **37 CFR 1.97(e)(1)** that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. **OR**

☐ The undersigned certifies under **37 CFR 1.97(e)(2)** that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content that is given on ☐ the attached separate sheet or ☐ incorporated in the applicant's specification or ☒ by the enclosed English-language translation or portion thereof within the possession, custody, or control of, or is readily available to any individual designated in 37 CFR 1.56 (c).


☒ Applicant does not believe any fee is due. However, at any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **19-2179** pursuant to 37 CFR 1.25. A duplicate copy of this sheet is enclosed.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner or as an admission that the information cited is or is considered to be material to patentability.

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Respectfully submitted,

  
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Telephone: 650-943-7350  
Date: 3/3/05

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.



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| <b>Substitute for Form 1449A/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                  |
|   |   |    |   | Application Number       | 10/078,690       |
|   |   |    |   | Filing Date              | 02/19/2002       |
|   |   |    |   | First Named Inventor     | Petersen, et al. |
|   |   |    |   | Group Art Unit           | 3737             |
|   |   |    |   | Examiner Name            | Jaworski         |
| Sheet   | 2 | of | 2 | Attorney Docket Number   | 2001P20913US     |

| <b>OTHER NON PATENT LITERATURE DOCUMENTS</b> |                          |   |                |
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| Examiner<br>Initials*                        | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|  |                          | German Office Action dated November 29, 2004, 3 pages total   | X              |
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<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.